10/58338399 - GAU: 1794 Receipt date: 06/19/2006

AP3 Rec'd PCT/PTO 19 JUN 2011

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: O79211

Tsuyoshi KATO, et al.

Appln. No.: Based on PCT/JP2004/018859

Group Art Unit: Unknown Confirmation No . Unknown

Examiner: Unknown Filed: June 19, 2006

BORON-CONTAINING POLYMER COMPOUND AND ORGANIC LIGHT EMITTING For:

DEVICE USING THE SAME

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

#### MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

10/5833<sup>1,858</sup>3389 - GAU: 1794 Receipt date: 06/19/2006

# APS Rec'd PCT/PTO 19 JUN 20

INFORMATION DISCLOSURE STATEMENT U.S. Appln. No.: Based on PCT/JP2004/018859

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office. Additionally, English language abstracts, submitted herewith, constitute concise statements of relevance for JP-A-8-157575, JP-A-10-001665, JP-A-2-015595, JP-A-5-121172, JP-A-2003-113246 and WO 00/40586, as does the disclosure at pages 4, 28-29, 31, 53, and 64 in the present specification. Also, JP-A-2-015595 corresponds to U.S. Patent No. 4,885,211.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted.

Registration No. 33,725

SUGHRUE MION, PLLC Telephone: (202) 293-7060 Facsimile: (202) 293-7860

> WASHINGTON OFFICE 23373 CUSTOMER NUMBER

Date: June 19, 2006

## 10/583038389 - GAU: 1794 Receipt date: 06/19/2006

493 Rec'd PCT/PTO 19 JUN 2 Substitute for Form 1449 A & B/PTO Based on PCT/JP2004/018859 Application Number

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

/Dawn Garrett/

Examiner Signature

this form with next communication to applicant.

First Named Inventor Tsuyoshi KATO Art Unit Unknown (use as many sheets as necessary) Examiner Name Unknown 079211 of 1 Attorney Docket Number Sheet l 1

Confirmation Number

Filing Date

Unknown

June 19, 2006

08/21/2008

Date Considered

	U.S. PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Document Number		Publication Date						
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document					
/D.G./		US 4,885,211		12-05-1989	C. W. TANG, ct al.					
		US								
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Examiner Initials*	Cite No.1	Foreign Patent Document			Publication Date	Name of Patentce or	l
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>3</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
7D.G./		EP	1142895	Al	10-10-2001	CHISSO CORPORATION	
		EP	1217668	Al	06-26-2002	Covion Organic Semiconductors GmbH	
		JР	8-157575	A	06-18-1996	TOPPAN PRINTING CO LTD SATO HISAYA	Abstract
		JP	10-001665	A	01-06-1998	TOYOTA CENTRAL RES & DEV LAB INC	Abstract
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		JP	5-121172	Α	05-18-1993	PIONEER ELECTRON CORP	Abstract
<b>N</b> /		JP	2003-113246	Α	04-18-2003	SHOWA DENKO KK	Abstract
V		·wo	00/40586	Al	07-13-2000	CHISSSO CORP	Abstract
		-					

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>		
/D.G./		PATENT ABSTRACTS OF JAPAN, Vol. 2003, No. 8, August 6, 2003			
·D.G.		& JP 2003 113246 A (SHOWA DENKO KK), April 18, 2003			
/D.G./		PATENT ABSTRACTS OF JAPAN, Vol. 2000, No. 13, February 5, 2001			
		& JP 2000 297118 A (HOKKO CHEM IND CO LTD), October 24, 2000			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of

\*Applicant's unique clusion designation number (opinions). \*Sec Kind Codes of USPTO Parent Documents at worw agent agen, MEEP 90.01 to on follow the hyperfaint from the title of the comment on the inventment. \*Beart Office to be title on the document, by the involvement, by the involvement of the Code (MVIO Standard ST 33. \*The Tappasters parent documents, the indication of the year of the trips of the Emperor must proceed the serial number of the patient document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant to in collect here if English Insurance Trust Insurance Tru